

THEORY OF CHANGE FRAMEWORK

VISION

Members of the VPI will continuously improve their promotion of and respect for human rights in the context of their security practices and will make every effort to prevent conflict

APPROACH

Strategies & Activities

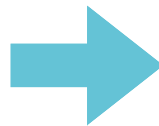
Grow initiative membership and engage non-extractive industries

Provide implementation tools and guidance to members

Provide members with learning and capacity-building opportunities

Promote dialogue among members and monitor implementation of the VPs

Support in-country working groups to address local implementation challenges



EFFECTS

Outcomes (Long-term Goals)

Enhanced ability among members to accurately understand, predict, prevent and mitigate risks and negative impacts related to company's operation in local communities, regions, and/or countries.

Improved and reinforced partnership between companies and public security providers based on the respect of human rights, in areas where companies operate.

Improved and reinforced partnership between companies and private security providers based on the respect of human rights, in areas where companies operate.

Initiative Impact

VPI Members' security practices strengthen and promote respect for human rights, preventing human rights violations or other harms, especially in relation to women and vulnerable groups.

Assumptions

The VPI Theory of Change relies on several assumptions about how the Initiative's main strategies and activities will produce the longer-term outcomes identified in the Theory of Change Framework and in turn, produce the Initiative's intended impact.

Core Assumption: Adherence to the VPs will improve protection of and respect for human rights in the context of security practices where companies operate.

Secondary Assumptions:

1. Providing members with access to capacity building opportunities and implementation resources will translate into improved security practices that promote respect for human rights, especially for women and other vulnerable groups.

This assumes that:

- Implementation tools and guidance are applicable to the realities members face on the ground.
- Members are actively engaging with materials, sharing knowledge and applying lessons.
- Member representatives are able to influence broader operational practices and ensure knowledge transfer within organizations.

2. The Initiative promotes accountability and all new and existing members will continuously seek to improve their security practices to promote greater respect human rights, regardless of sector.

This assumes that:

- VPI resources are applicable across sectors and not only applicable to extractive industries.
- Initiative monitoring and reporting requirements, including verification processes, motivate members to improve their practices.
- Those at VPI tables have sufficient influence over operational practices.

3. The VPI and its in-country working groups provide a safe space for members to speak openly about the challenges and realities they face with respect to security and human rights.

This assumes that:

- Members are forthcoming in their formal and informal reporting regarding their experiences implementing the VPs and any challenges.
- The VPI is able to foster trust among its members and confidence that confidential information will be respected.
- In-country working groups are able to identify and respond to local implementation challenges.

4. The overall impact of the VPI on the protection and promotion of human rights will increase as more companies, governments and NGOs join the Initiative.

• Future impact evaluations will be able to assess to what extent these assumptions apply to reality and inform any necessary changes in the Initiative's strategic approach. The secondary assumptions are aligned with the strategies and activities included in the Initiative theory of change framework.